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JC772 U.S. PTO

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Transmittal Form w/o Declaration


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| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No. | NOK-004 | Total Pages | | |
| | First named Inventor or Application Identifier | | Katsunori YANAGIDA | | |
| | Title of Invention | | CARBON MATERIALS, ELECTRODES AND NONAQUEOUS ELECTROLYTE SECONDARY CELLS | | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | | | |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>71</u>]</p> <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Formal Drawing (35 USC 113) [Total Sheet <u>1</u>]</p> <p>4. <input type="checkbox"/> Oath or Declaration [Total pages <u> </u>]</p> <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> | | <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies <p>ACCOMPANYING APPLICATION PARTS</p> <p>8. <input type="checkbox"/> Assignment papers (cover sheet & document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (When there is an assignee)</p> <ul style="list-style-type: none"><input type="checkbox"/> Power of Attorney <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO-1449</p> <ul style="list-style-type: none"><input type="checkbox"/> Copies of IDS Citations <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)</p> <p>14. <input type="checkbox"/> Small Entity Statement(s)</p> <ul style="list-style-type: none"><input type="checkbox"/> Statement filed in prior application, status still proper and desired <p>15. <input checked="" type="checkbox"/> Certified Copy of Priority Documents</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> Priority of application Nos. 11-145,143 and 2000-033,801 filed on May 25, 1999 and February 10, 2000, respectively in Japan is claimed under 35 USC 119.<input type="checkbox"/> The certified copy has been filed in prior application Serial No. 08/*. <p>16. <input type="checkbox"/> Other: <u> </u></p> <p>OTHER</p> <p>17. <input type="checkbox"/> Priority of <u> </u> Patent Application No. <u> </u> filed <u> </u> is claimed under 35 USC 119.</p> | | | |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in part (CIP) of prior application No.: <u>08/ </u> | | | | | |
| 18. CORRESPONDENCE ADDRESS | | | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label <u>020374</u> or <input type="checkbox"/> Correspondence address below | | | | | |
| NAME | KUBOVCIK & KUBOVCIK | | | | |
| ADDRESS | 900 17th Street, N.W. | | | | |
| CITY | Washington | STATE | DC | ZIP CODE | 20006 |
| FILING DATE | May 23, 2000 | TEL | 202-887-9023 | FAX | 202-887-9093 |

| | | |
|---|------------------------|--------------------|
| FEE TRANSMITTAL Note: Effective November 10, 1998 | Application Number | 09/ |
| | Filing Date | May 23, 2000 |
| | First Named Inventor | Katsunori YANAGIDA |
| | Group Art Unit | Not Assigned |
| | Examiner Name | Not Assigned |
| | Attorney Docket Number | NOK-004 |

| CLAIMS AS FILED-PART 1 | | | SMALL ENTITY | | OTHER THAN SMALL ENTITY | |
|--|--------------|--------------|--------------|----------|-------------------------|------------|
| FOR | NUMBER FILED | NUMBER EXTRA | RATE | FEE | RATE | FEE |
| BASIC FEE (37 CFR 1.16 (a)) | | | | \$345.00 | | \$690.00 |
| TOTAL CLAIMS (37 CFR 1.16 (c)) | 80 - 20 = | 60 | \$9.00 | | \$18.00 | \$1,080.00 |
| INDEPENDENT CLAIMS (37 CFR 1.16 (B)) | 6 - 3 = | 3 | \$39.00 | | \$78.00 | \$234.00 |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d)) | | | \$130.00 | | \$260.00 | \$0.00 |
| | | | SUB TOTAL | | SUB TOTAL | \$1,770.00 |
| SURCHARGE-LATE FILING FEE OR DECLARATION | | | \$65.00 | | \$130.00 | \$130.00 |
| RECORDING ASSIGNMENT | | | \$40.00 | | \$40.00 | |
| TOTAL | | | | \$0.00 | | \$1,900.00 |

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| METHOD OF PAYMENT |
| Payment Deferred |

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|--|---|------------------|--|
| SIGNATURE OF ATTORNEY, OR AGENT | | | |
| NAME | Keiko Tanaka Kubovcik | REGISTRATION No. | 40,428 |
| SIGNATURE |  | ADDRESS | KUBOVCIK & KUBOVCIK 900 17th Street, N.W. Washington, D.C. 20006 |
| | | TELEPHONE | 202-887-9023 |
| DATE | May 23, 2000 | FAX | 202-887-9093 |

KTK/esc